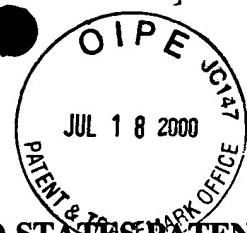


Docket No.: RAL9-00-0029



*Sector \$*

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application: C. Basso et al.      Group No.: 2782

Filed: April 10, 2000      Serial No.: 09/546,133

For: Network Processor Service Architecture that is Platform and Operating System Independent

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that the following attached correspondence comprising:

1. Transmittal of Missing Parts (in duplicate)
2. Notice of Missing Parts
3. Declaration and Power of Attorney Fully Executed
4. Reply post card
5. Copy of Filing Receipt with noted correction

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**BOX MISSING PARTS**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

on July 14, 2000

Karen Orzechowski  
(Name of person mailing paper or fee)

Karen Orzechowski  
(Signature of person mailing paper or fee)



IBM Docket No. RAL900-0029

PATENT

**CERTIFICATE OF MAILING (37 C.F.R. 1.8(a))**

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Date:** July 14, 2000

**In re Application of:** C. Basso, et al.

**Serial Number:** 09/546,133

**Filed:** April 10, 2000

**For:** Network Processor Services Architecture that is Platform and Operating System Independent

**Art Unit:** 2739

**Examiner:**

**TRANSMITTAL OF MISSING PARTS**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application" mailed June 14, 2000 attached is the fully executed Declaration and Power of Attorney.

Please charge the \$130.00 fee to deposit account 09-0464.  
A duplicate copy of this transmittal is enclosed.

Respectfully submitted,

By Daniel E. McConnell

Daniel E. McConnell

Attorney of Record, Reg. No. 20,360

DEM/ko

Enclosures

Phone: 919-543-1105 / Fax: 919-543-3634

#3

## FORMALITIES LETTER



\*OC00000005176397\*

PATENT &amp; TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
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09/546,133

04/10/2000

Claude Basso

RAL9-00-0029

Joscelyn (Josh) G Cockburn  
 IBM Corporation  
 3039 Cornwallis Road  
 Dept 972/B656  
 Research Triangle Park, NC 27709

Date Mailed: 06/14/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/21/2000 WKDRDMA 00000060 090464 09546133

01 FC:105 130.00 CH

FILING RECEIPT



JUN 1, 2000

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/546,133	04/10/2000	2782	0	RAL9-00-0029	4	20	5

Joscelyn (Josh) G Cockburn  
 IBM Corporation  
 3039 Cornwallis Road  
 Dept 972/B656  
 Research Triangle Park, NC 27709

Date Mailed: 06/14/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Claude Basso, Raleigh, NC ;  
 Philippe Damon, Carrboro, NC ;  
 Anthony Matteo Gallo, Apex, NC ;

**Continuing Data as Claimed by Applicant****Foreign Applications****If Required, Foreign Filing License Granted 06/13/2000****Title**

Network processor/service architecture that is platform and operating system independent

*should be: services*

**Preliminary Class**

712

**Data entry by : DADE, JOAN****Team : OIPE****Date: 06/14/2000**